
RULES AND REGULATIONS

4. COORDINATION OBLIGATIONS

4.1 Provision of Coordination Services. The Company shall provide all Coordination Services, as provided herein, necessary for the delivery of an EGS's Competitive Energy Supply to serve Customers located within the Company's service territory.

4.2 Timeliness and Due Diligence. EGSs shall exercise due diligence in meeting their obligations and deadlines under this Tariff so as to facilitate Direct Access.

4.3 Duty of Cooperation. The Company and each EGS will cooperate in order to ensure delivery of Competitive Energy Supply to Customers as provided for by this Tariff, the EDC Tariff, the PJM Tariff, and the Competition Act.

4.4 State Licensing. An EGS must have and maintain in good standing a license from the PaPUC as an authorized EGS. The EGS shall notify the Company within three (3) business days of any amendment, revocation, termination or other change in its License applicable to the Company's service territory.

4.5 Energy, Capacity, and AEPS Procurement. An EGS is responsible for all necessary arrangements for supply and delivery of electric energy and capacity in a quantity sufficient to serve its own Customers under the PJM Tariff and to also satisfy AEPS obligations associated with its supply.

4.6 Transmission Services and Obligations. An EGS is responsible for arranging, procuring, taking and paying for those services provided by PJM that are necessary for the delivery of Competitive Energy Supply to its Customers pursuant to the PJM Tariff and this Tariff. Failure to do so will result in a suspension of the EGS's registration until resumption of such services by the EGS occurs.

4.7 Energy Scheduling. An EGS must make all necessary arrangements for scheduling the delivery of energy with PJM.

4.8 Reliability Requirements. An EGS shall satisfy those reliability requirements issued by the PaPUC, PJM, or any other governing reliability council with authority over the EGS.

4.9 Determination of Load and Location. The Company and EGS shall coordinate with the PJM OI to determine the magnitude and location of the EGS's actual or projected load, as required by the PJM OI, for the purpose of calculating a Network Integration Transmission Service Reservation, an installed capacity obligation, or other requirements under the PJM Tariff.

4.10 Supply of Data. An EGS and the Company shall supply to each other all data, materials or other information specified in this Tariff, or otherwise reasonably required by the EGS or Company in connection with the provision of Coordination Services, in a thorough and timely manner.

COORDINATION OBLIGATIONS (CONTINUED)

4.11 Communication Requirements. An EGS must be equipped with the following communications capabilities:

- Internet electronic mail (e-mail), including the capability to receive ASCII file attachments;
- Internet browser capable of access to the PPL Electric web-site and file uploads and downloads;
- Internet EDI peer-to-peer communication with push and pull capability, and
- NAESB standards which is the preferred method of communication (EDI), as determined by the Electronic Data Exchange Working Group (EDEWG) and Pa PUC Docket No. M-00960890.F0015.

4.12 Record Retention. An EGS and the Company shall comply with all applicable laws and PaPUC rules and regulations for record retention, including but not limited to those Rules of Chapter 56 of the PaPUC's regulations.

4.13 Payment Obligation. The Company's provision of Coordination Services to an EGS is contingent upon the EGS's payment of all charges provided for in this Tariff and the PJM Tariff.

COORDINATION OBLIGATIONS (CONTINUED)

4.14 Data Exchange.

(a) An EGS shall implement NAESB standards which is the preferred method of communication (EDI), as determined by the EDEWG and PaPUC Docket No. M-00960890F.0015. NAESB standards must meet the minimum criteria of, and be endorsed by, the EDEWG. An EGS shall have appropriate software for access to the Company's Internet site for file viewing, uploads and downloads.

(b) The Company shall make available to an EGS, on a daily basis, the following information regarding that EGS's Customers in electronic files available on the PPL Electric supplier website:

- (i) PPL Electric Account Number
- (ii) Billing Route
- (iii) Customer Name
- (iv) Service Address
- (v) Service City
- (vi) Service State Zip
- (vii) Mailing Address
- (viii) Mailing City
- (ix) Mailing State Zip
- (x) Contact Name (applicable to industrial and large commercial Customers only)
- (xi) Contact Address (applicable to industrial and large commercial Customers only)
- (xii) Contact City, State, Zip (applicable to industrial and large commercial Customers only)
- (xiii) Unlisted Telephone Number Code
- (xiv) Telephone Number
- (xv) Rate Class
- (xvi) Rate Class Load Profile and Class Strata (if any)
- (xvii) Registered Peak Demand
- (xviii) Load Factor
- (xix) Annual kWh
- (xx) Meter Use Code
- (xxi) Delivery Date

COORDINATION OBLIGATIONS (CONTINUED)

- (xxii) Load Bus
- (xxiii) Whether Customer is on budget billing
- (xxiv) Whether the Customer a special payment agreement.

(c) An EGS must notify its Customers that by signing up for Competitive Energy Supply with the EGS, the Customer is consenting to the disclosure by the Company to the EGS of certain basic information about the Customer, as listed in Rule 4.15(b). At a minimum, the notice shall inform the Customer that the following information will be disclosed: the Customer's PPL Electric account number, data about meter readings, rate class and electric usage, the Customer's address(es) and telephone number, and whether the Customer is on a budget billing plan or payment arrangement.

(d) On its supplier website, the Company will maintain copies of the standard file formats it will provide to EGSs containing the data listed in this Rule of this Tariff. The Company will not change the file formats without first providing at least seven (7) days' notice of any such change via Internet electronic mail and posting on its supplier website. The Company will make a good faith effort to provide a greater period of notice when warranted.

(e) Nothing in this Rule 4.15 shall prohibit the Company from making available to EGSs other electronic data, in formats chosen by the Company. The Company will not change the file formats of the electronic data made available under this Rule 4.15(e) without first providing at least seven (7) days' notice of such change via Internet electronic mail and posting on the supplier website. The Company will make a good faith effort to provide a greater period of notice when warranted.

4.15 Code of Conduct. The Codes of Conduct contained in the Company's EDC Tariff are incorporated herein by reference.

4.16 Standards of Conduct and Disclosure for Licensed EGSs. Standards of Conduct and Disclosure for Licensed EGSs. The Commission's Standards of Conduct and Disclosure for Licensees are incorporated herein by reference.

4.17 Coordination Service Charges. Effective January 1, 2017, EGSs shall be required to pay the following as part of Coordination Service Charges to facilitate the transfer of data between the Company and EGSs.

(a) DUNS Testing Fees – Coordination Service Charges will include the costs of testing a new Data Universal Numbering System ("DUNS") that the Company needs to set up for each EGS. There are two types of DUNS fees: (1) "Full" testing, which is to test DUNS activity for a new supplier; and (2) "Abbreviated" testing, which is to test DUNS activity for an existing supplier requesting an additional DUNS number. The DUNS fees will be directly assigned to the EGS for each test performed.