
RULES AND REGULATIONS

5. DIRECT ACCESS PROCEDURES

5.1.3 Eligible Customer List.

(a) The following information shall be provided to EGSs about Customers that have not opted out of the release of Customer information:

- i. PPL Electric Account Number
- ii. Billing Country Code
- iii. Customer Name
- iv. Service Address
- v. Service City
- vi. Service State Zip
- vii. Billing Address
- viii. Billing City
- ix. Billing State Zip
- x. Meter Read Cycle
- xi. Contact Name (applicable to industrial and large commercial Customers only)
- xii. Contact Address (applicable to industrial and large commercial Customers only)
- xiii. Contact City, State, Zip (applicable to industrial and large commercial Customers only)
- xiv. Rate Class
- xv. Rate Class Load Profile and Class Strata (if any)
- xvi. Registered Peak Demand
- xvii. Load Factor
- xviii. Annual kWh
- xix. Meter Use Code
- xx. Delivery Date
- xxi. Load Profile Group Indicator
- xxii. Default Service Indicator
- xxiii. Interval Meter Indicator
- xxiv. Capacity and Transmission Obligations (including current and future Capacity and Transmission Peak Load Contributions)
- xxv. Net Metering Flag
- xxvi. Tax Status Flag

5.2 Interval Meter Data. A licensed EGS is expected to maintain appropriate Customer authorization when requesting historical Interval Meter data. The Interval Meter data will be provided at no cost to an EGS and will be transferred in a standardized format and sent to the EGS via EDI and will include 12 months of data. Alternatively, an EGS may access the data through a secured portion of the Company's supplier website. The EGS will share its records of Customer authorization with the Company upon request and those records shall be subject to audit by the Commission.

5.3 Switching Among EGSs (or between an EGS and the Company as the Provider-of-Last Resort). EGS selection switching by Customers shall occur in accordance with the Direct Access Procedures contained in this Tariff.

DIRECT ACCESS PROCEDURES (CONTINUED)

5.3.1

- (a) If a Customer contacts a new EGS to request a change of EGS and the new EGS agrees to serve the Customer, the Customer's new EGS shall obtain appropriate authorization from the Customer, or person authorized to act on the Customer's behalf, indicating the Customer's choice of EGS. It is the EGS's responsibility to maintain records of the Customer's authorization in the event of a dispute in order to provide documented evidence of authorization to the Company or the Commission.
- (b) The Customer's new EGS shall also submit the Customer's enrollment information using the PA EDI 814 transaction. Upon receipt of the 814 enrollment transaction from the EGS, the Company will automatically confirm receipt of the file.
- (c) The Company will send the Customer a confirmation letter before the end of the next business day to confirm the Customer's EGS selection. The EGS selection will be effective within three (3) business days after processing the selection. The confirmation letter shall include notice to residential and small commercial Customers that the Customer's service may be terminated for failure to pay for generation service provided by an EGS and billed by the EDC.
- (d) Once the preceding process is complete, the Company will notify the Customer's prior EGS of the discontinuance of service to the Customer from that prior EGS.

5.3.2 If a Customer contacts the Company to request a change of its EGS to another EGS, the Company shall notify the Customer that the newly selected EGS must be contacted directly by the Customer in order to initiate the change.

5.3.3 If an EGS wishes to obtain from the Company confidential Customer-specific information about a Customer with whom it is discussing the possibility of providing Competitive Energy Supply but who has restricted access to the information, the Company will only provide such information after receiving written, electronic or telephonic customer authorization from the requesting supplier or attestation from the supplier that it has such authorization. The EGS will share its records of Customer authorization with the Company upon request and those records shall be subject to audit by the Commission.

5.3.4 If a Customer contacts the Company to request a change of EGS to the Company's Default Service, the Company will process the request and send the Customer a confirmation letter before the end of the next business day confirming the Customer's return to Default Service. The selection will be effective within three (3) business days after processing the request. Once the preceding process is complete, the Company will notify the Customer's prior EGS of the discontinuance of service to the Customer from that prior EGS.

- (a) If a Customer contacts the Company to discontinue electric service at the Customer's then current location, and initiates a request for service at a new location in the Company's service territory, the Company will adhere to the processes set forth in the Company's Plan for Seamless Moves and Instant Connects approved at Docket No. M-2014-2401103.

DIRECT ACCESS PROCEDURES (CONTINUED)

(b) If a Customer contacts the Company to discontinue electric service the Company will notify the current EGS via an EDI drop transaction of the Customer's discontinuance of service for the location.

5.3.5 If the Company elects to change the account number for a Customer receiving generation service from an EGS, the Company will notify the EGS of the change in account number at the same Customer location, via EDI transaction.

5.4 Provisions relating to an EGS's Customers.

5.4.1 Arrangements with EGS Customers. EGSs shall be solely responsible for having appropriate contractual or other arrangements with their Customers necessary to implement Direct Access consistent with all applicable laws, PaPUC requirements, the PJM Tariff and this Tariff. The Company shall not be responsible for monitoring, reviewing or enforcing such contracts or arrangements.

5.4.2 Transfer of Cost Obligations Between EGSs and Customers. Nothing in this Tariff is intended to prevent an EGS and a Customer from agreeing to reallocate between them any charges that this Tariff imposes on the EGS, provided that any such agreement shall not change in any way the EGS's obligation to pay such charges to the Company, and that any such agreement shall not confer upon the Company any right to seek recourse directly from the EGS's Customer for any charges owed to the Company by the EGS.

5.4.3 Customers of an EGS remain bound by the rules and requirements of the applicable PPL Electric Tariff under which they receive retail service from the Company.